# Human Gene Therapy for Rare Diseases

# **Draft Guidance for Industry**

This guidance document is for comment purposes only.

Submit one set of either electronic or written comments on this draft guidance by the date provided in the *Federal Register* notice announcing the availability of the draft guidance. Submit electronic comments to <u>https://www.regulations.gov</u>. Submit written comments to the Dockets Management (HFA-305), Food and Drug Administration, 5630 Fishers Lane, Rm. 1061, Rockville, MD 20852. You should identify all comments with the docket number listed in the notice of availability that publishes in the *Federal Register*.

Additional copies of this guidance are available from the Office of Communication, Outreach and Development (OCOD), 10903 New Hampshire Ave., Bldg. 71, Rm. 3128, Silver Spring, MD 20993-0002, or by calling 1-800-835-4709 or 240-402-8010, or email <u>ocod@fda.hhs.gov</u>, or from the Internet at https://www.fda.gov/BiologicsBloodVaccines/GuidanceComplianceRegulatoryInformation/Guid

https://www.fda.gov/BiologicsBloodVaccines/GuidanceComplianceRegulatoryInformation/GuidanceS/default.htm.

For questions on the content of this guidance, contact OCOD at the phone numbers or email address listed above.

U.S. Department of Health and Human Services Food and Drug Administration Center for Biologics Evaluation and Research July 2018

Draft – Not for Implementation

# **Table of Contents**

I.	INTRODUCTION1		
II.	BACKGROUND		
III.	CONSIDERATIONS FOR PRODUCT DEVELOPMENT 2	ļ	
IV.	CONSIDERATIONS FOR PRECLINICAL STUDIES		
V.	CONSIDERATIONS FOR CLINICAL TRIALS		
	A. Study Population		
	B. Study Design		
	C. Dose Selection		
	D. Safety Considerations 8		
	E. Efficacy Endpoints	ļ	
	F. Patient Experience 10		
VI.	EXPEDITED PROGRAMS 10	I	
VII.	COMMUNICATION WITH FDA 11		
VIII.	REFERENCES12		

Draft – Not for Implementation

#### Human Gene Therapy for Rare Diseases 1 2 3 **Draft Guidance for Industry** 4 5 6 7 *This draft guidance, when finalized, will represent the current thinking of the Food and Drug* 8 Administration (FDA or Agency) on this topic. It does not establish any rights for any person 9 and is not binding on FDA or the public. You can use an alternative approach if it satisfies the 10 requirements of the applicable statutes and regulations. To discuss an alternative approach, contact the FDA staff responsible for this guidance as listed on the title page. 11 12 13 14 I. **INTRODUCTION** 15 16 This guidance provides recommendations to stakeholders developing a human gene therapy (GT) 17 product<sup>1</sup> intended to treat a rare disease<sup>2</sup> in adult and/or pediatric patients regarding the manufacturing, preclinical, and clinical trial design issues for all phases of the clinical 18 19 development program. Such information is intended to assist sponsors in designing clinical 20 development programs for such products, where there may be limited study population size and 21 potential feasibility and safety issues, as well as issues relating to the interpretability of 22 bioactivity/efficacy outcomes that may be unique to rare diseases or to the nature of the GT 23 product itself. 24 25 FDA's guidance documents, including this guidance, do not establish legally enforceable 26 responsibilities. Instead, guidances describe the FDA's current thinking on a topic and should be 27 viewed only as recommendations, unless specific regulatory or statutory requirements are cited. 28 The use of the word *should* in FDA's guidances means that something is suggested or 29 recommended, but not required.

<sup>&</sup>lt;sup>1</sup> Human gene therapy seeks to modify or manipulate the expression of a gene or to alter the biological properties of living cells for therapeutic use. Human gene therapy products are defined as all products that mediate their effects by transcription or translation of transferred genetic material or by specifically altering host (human) genetic sequences. Some examples of gene therapy products include nucleic acids, genetically modified microorganisms (e.g., viruses, bacteria, fungi), engineered site-specific nucleases used for human genome editing (Ref. 1), and ex vivo genetically modified human cells. Gene therapy products meet the definition of "biological product" in section 351(i) of the Public Health Service (PHS) Act (42 U.S.C. 262(i)) when such products are applicable to the prevention, treatment, or cure of a disease or condition of human beings.

 $<sup>^{2}</sup>$  A rare disease is defined by the Orphan Drug Act of 1983 as a disorder or condition that affects fewer than 200,000 persons in the United States. Public Law 97-414, 96 Stat. 2049 (1983). Amended by Public Law 98-551 (1984) to add a numeric prevalence threshold to the definition of rare diseases.

Draft – Not for Implementation

#### 31 II. BACKGROUND

32 33 The National Institutes of Health (NIH) reports that nearly 7,000 rare diseases affect more than 34 25 million Americans. Approximately 80% of rare diseases are caused by a single-gene defect, and about half of all rare diseases affect children. Since most rare diseases have no approved 35 36 therapies, there is a significant unmet need for effective treatments, and many rare diseases are 37 serious or life-threatening conditions. As a general matter, developing safe and effective 38 products to treat rare diseases can be challenging. For example, it might be more difficult to find 39 and recruit patients with rare diseases into clinical trials. Additionally, many rare diseases 40 exhibit a number of variations or sub-types. Consequently, patients may have highly diverse clinical manifestations and rates of disease progression with unpredictable clinical courses. 41 42 These challenges are also present for the development of GT products. However, despite these 43 challenges, GT-related research and development in the area of rare diseases continues to grow 44 at a rapid rate.

45 46

## 47 III. CONSIDERATIONS FOR PRODUCT DEVELOPMENT

48

49 The general chemistry, manufacturing and control (CMC) considerations for product

50 manufacturing, testing and release of GT products for rare diseases are the same as those

51 described for other GT products (Ref. 2). However, some aspects of the development programs

52 for rare diseases, such as limited population size and fewer lots manufactured, may make it

53 challenging to follow traditional product development strategies. In traditional product

54 development, critical quality attributes (CQA) of the product are evaluated during each phase of 55 clinical development, and characterization data from many product lots are correlated to clinical

56 outcomes. In addition, GT products may have CQA with higher variability than drugs or well-

57 characterized biologics, which can add to COA uncertainty. Smaller study populations may

58 result in the need for fewer manufacturing runs, which can make it difficult to establish the

59 critical process parameters (CPP) necessary for ensuring CQA. However, demonstrating process

60 control to ensure a consistent product with predefined CQA for potency, identity and purity is

61 required to demonstrate compliance with licensure and regulatory requirements.<sup>3</sup>

62

63 These factors make it even more critical that a sponsor of a GT product for a rare disease

64 establish a well-controlled manufacturing process along with suitable analytical assays to assess

65 product CQA as early in development as possible, optimally before administration of the GT

66 product to the first subject. Importantly, as the phase 1 study may provide evidence of safety and

67 effectiveness, characterization of product CQA and manufacturing CPP should be implemented

68 during early clinical development, and innovative strategies such as the production of multiple

69 small lots versus a single large product lot may be considered. Sponsors developing GT products

70 for rare diseases are strongly encouraged to contact the Office of Tissues and Advanced

<sup>&</sup>lt;sup>3</sup> Section 351(a)(2)(C)(i) of the PHS Act (42 U.S.C. 262(a)(2)(C)(i)); 21 CFR 601.2; 21 CFR 601.20; 21 CFR Part 610, Subpart B.

Draft – Not for Implementation

Therapies (OTAT) in the Center for Biologics Evaluation and Research (CBER) prior to
 investigational new drug application (IND) submission to discuss their product-specific
 considerations, which may include:

- Product-related variations, including those contributed by intrinsic differences among subjects' cells, may have a more pronounced effect on the interpretability of smaller rare disease studies. This is equally true of impurities such as empty and wild type viral particles that may be present in viral vectors. Establishment of assays for characterization of product-related variants and impurities will be important for program success.
- 83 Potency assays are critical to assess product functional activity, consistency, stability, and • 84 to provide evidence of comparability after changes to the manufacturing process. 85 Therefore, we strongly encourage the evaluation of multiple product characteristics that 86 could be used to establish a potency test during initial clinical studies. As these assays 87 are critical to product development, we recommend that a potency test that measures a 88 relevant biological activity be qualified for suitability (i.e., accurate, precise, sensitive, 89 specific) prior to conducting trials intended to provide substantial evidence of 90 effectiveness for a marketing application, and validated for licensure (Ref. 3).
- 92 Limited availability of starting materials (e.g., autologous cells) and reference materials • 93 to design suitable assays to measure CQA, as well as limited process understanding, can 94 hamper manufacturing process development, comparability studies, and process 95 validation (Ref. 4). Sponsors are encouraged to consider, where possible, implementing 96 manufacturing changes needed for commercial-scale production and demonstrating 97 product comparability prior to the initiation of clinical trials intended to provide 98 substantial evidence of effectiveness for a marketing application. Importantly, if product 99 comparability cannot be demonstrated, additional clinical studies may be needed.
- 100 101

82

91

## 102 IV. CONSIDERATIONS FOR PRECLINICAL STUDIES

103 104 A preclinical program that is tailored to the investigational product and planned early-phase 105 clinical trial contributes to characterization of the product's benefit/risk profile for the intended 106 patient population. The overall objectives of a preclinical program for a GT product include: 1) 107 identification of a biologically active dose range; 2) recommendations for an initial clinical dose 108 level, dose-escalation schedule, and dosing regimen; 3) establishment of feasibility and 109 reasonable safety of the proposed clinical route of administration (ROA); 4) support of patient 110 eligibility criteria; and, 5) identification of potential toxicities and physiologic parameters that 111 help guide clinical monitoring for a particular investigational product. In addition, to justify 112 conducting a first-in-human clinical trial in pediatric subjects that is associated with more than a 113 minor increase over minimal risk, the preclinical program should include studies designed to 114

Draft – Not for Implementation

115 demonstrate a prospect of direct benefit (21 CFR 50.53) of the investigational GT product (refer 116 to section V.A. of this document for further discussion). This objective is important when 117 clinical evidence is not available from adult subjects with the same disease. 118 119 Further details for general considerations in preclinical studies are available in a separate 120 guidance document (Ref. 5). Although not specific to rare diseases, the following elements are 121 recommended in the development of a preclinical program for an investigational GT product: 122 123 Preclinical in vitro and in vivo proof-of-concept (POC) studies are recommended to • 124 establish feasibility and support the scientific rationale for administration of the 125 investigational GT product in a clinical trial. Data derived from preclinical POC studies 126 can guide the design of both the preclinical toxicology studies, as well as the early-phase 127 clinical trials. The animal species and/or models selected should demonstrate a 128 biological response to the investigational GT product that is similar to the expected 129 response in humans. 130 131 Biodistribution studies should be conducted to assess the pharmacokinetic (PK) profile of • 132 a GT product (Ref. 6). These data encompass the distribution profile of the vector from 133 the site of administration to target and non-target tissues, including biofluids (e.g., blood, 134 lymph node fluid, cerebrospinal fluid (CSF)) as applicable. These data can determine 135 extent of tissue transduction and transgene expression, evaluate whether expression is 136 transient or persistent, and guide the design of the preclinical toxicology studies as well 137 as the early-phase clinical trials. 138 139 Toxicology studies for an investigational GT product should incorporate the elements of • 140 the planned clinical trial (e.g., dose range, ROA, dosing schedule, evaluation endpoints, 141 etc.) to the extent feasible. Study designs should be sufficiently comprehensive to permit 142 identification, characterization, and quantification of potential local and systemic 143 toxicities, their onset (i.e., acute or delayed) and potential mitigation and resolution, and 144 the effect of dose level on these findings. In some cases, additional assessments may also 145 be important to consider, such as safety and feasibility of the proposed GT delivery 146 system and procedure, and immune response directed against vector and expressed 147 transgene product. 148 The conduct of additional nonclinical studies<sup>4</sup> may be needed to address such factors as: 149 • 150 1) the potential for developmental and reproductive toxicity; and 2) significant changes in 151 the manufacturing process or formulation that may impact comparability between the 152 product administered in clinical trials and the product intended for licensure.

<sup>&</sup>lt;sup>4</sup> The preclinical program for any investigational product should be individualized with respect to scope, complexity, and overall design, to maximize the contribution and predictive value of the resulting data for clinical safety and therapeutic activity. We encourage sponsors to explore opportunities for reducing, refining, and replacing animal use in the preclinical program. For example, it may be appropriate to use *in vitro* or *in silico* testing to complement or replace animal studies. Sponsors are encouraged to submit proposals and justify any potential alternative approaches, which we will evaluate for equivalency to animal studies.

Draft – Not for Implementation

### 153 V. CONSIDERATIONS FOR CLINICAL TRIALS

Many rare disorders are serious, with no approved treatments and represent substantial unmet medical needs for patients. Because of phenotypic heterogeneity, disease manifestations are likely to vary in onset and severity. Information obtained from a natural history study can potentially provide critical information to guide every stage of drug development from drug discovery to determining effectiveness and safety of the drug in treating a disease (Ref. 7). However, there may be insufficient information on the natural history of the disease to inform the selection of a historical comparator or to inform clinical endpoint selection in future clinical trials.

164 In a majority of these disorders, clinical manifestations appear early in life, and there are ethical 165 and regulatory considerations regarding enrollment of children in clinical trials. These 166 considerations should factor into the design of both early- and late-phase clinical trials. Further

details of general considerations for GT clinical trials are available in a separate guidance

- 168 document (Ref. 8).

The following important elements are recommended for consideration during clinical
development of investigational GT products intended for treatment of rare diseases (although
they are not exclusively applicable to GT products for rare diseases).

### A. Study Population

Selection of the study population should consider existing preclinical or clinical data to determine the potential risks and benefits for the study subjects. In addition, sponsors should consider whether the proposed study population is likely to provide informative safety and/or efficacy data (Ref. 8). The following points should be considered with respect to trials of GT products for rare diseases:

- If the disease is caused by a genetic defect, the sponsor should perform genetic test(s) for the specific defect(s) of interest in all clinical trial subjects. This information is important to ensure correct diagnosis of the disorder of interest. In addition, since many of these disorders can involve either deletions or functional mutations at any of several loci within a specific gene, safety and effectiveness may be linked to genotype in unpredictable ways. Given this, early understanding of such associations may help in planning future clinical trials. Therefore, if there are no readily available, reliable means of obtaining the needed genetic diagnosis, a companion diagnostic may be needed and therefore should be considered early in development.
- Pre-existing antibody to the GT product may limit its therapeutic potential.
   Sponsors may choose to exclude patients with pre-existing antibodies to the GT product. In such cases, the sponsor should strongly consider contemporaneous development of a companion diagnostic to detect antibodies to the GT product. If an *in vitro* companion diagnostic is needed to appropriately select patients for

# Draft – Not for Implementation

198	study (and later, once the GT product is approved, for treatment), then sul	omission
199	of the marketing application for the companion diagnostic and submission	n of the
200	biologics license application for the GT product should be coordinated to	support
201	contemporaneous marketing authorizations.	
202		
203	• Severity of disease should be considered in designing clinical GT trials (F	Ref. 8),
204	as well as the anticipated risk and potential benefits to subjects. Subjects	with
205	severe or advanced disease might experience confounding adverse events	that are
206	related to the underlying disease rather than to the GT product itself; how	ever,
207	they may be more willing to accept the risk of an investigational GT prod	uct in
208	the context of the anticipated clinical benefit.	
209		
210	• Since most rare diseases are pediatric diseases or have onset of manifestat	tions in
211	childhood, pediatric studies are a critical part of drug development. How	
212	treatment in pediatric patients cannot proceed without addressing ethical	
213	considerations for conducting investigations in vulnerable populations. U	Jnless
214	the risks of an investigational drug are no more than a minor increase ove	
215	minimal risk (21 CFR 50.53), the administration of an investigational dru	
216	children must offer a prospect of direct clinical benefit to individually enr	olled
217	patients, the risk must be justified by the anticipated benefit, and the antic	
218	risk-benefit profile must be at least as favorable as that presented by acce	
219	alternative treatments (21 CFR 50.52). Additionally, adequate provisions	-
220	made to obtain the permission of the parents and the assent of the child as	
221	CFR 50.55.	1
222		
223	• The risks of most GT products include the possibility of unintended effec	ts that
224	may be permanent, along with adverse effects due to invasive procedures	
225	may be necessary for product administration. Because of these risks, it is	
226	generally not acceptable to enroll normal, healthy volunteers into GT stud	
227	well-written informed consent document is also essential.	
228		
229	B. Study Design	
230		
231	For rare diseases, there may be a limited number of patients who may qualify for	
232	enrollment into a clinical study. As a result, it is often not feasible to enroll unique	ue
233	subjects for all studies conducted under different phases of the clinical developm	
234	program. Limitation in the number of prospective subjects warrants the collectio	
235	much pertinent data (e.g., adverse events, efficacy outcomes, biomarkers) as poss	
236	from every subject, starting from the first-in-human study. All such data may be	
237		

# Draft – Not for Implementation

238 239 240 241	to inform the design of subsequent studies (e.g., selection of study populations and endpoints). Sponsors developing GT products for rare diseases should consider the following:
242 243 244 245	• The randomized, concurrent-controlled trial is generally considered the ideal standard for establishing effectiveness and providing treatment-related safety data. Randomization in early stages of development is strongly encouraged when feasible.
246 247 248 249 250	• Sponsors should consider designing their first-in-human study to be an adequate and well-controlled investigation that has the potential, depending on the study results, to provide evidence of effectiveness to support a marketing application.
251 252 253 254	• To promote interpretability of data for studies that enroll subjects with different disease stages or severities, sponsors should consider stratified randomization based on disease stage/severity.
255 256 257 258 259	• For some GT indications (e.g., a genetic skin disease), the use of an intra-subject control design may be useful. Comparisons of local therapeutic effects can be facilitated by the elimination of variability among subjects in inter-subject designs.
260 261 262 263	• A single-arm trial using historical controls, sometimes including an initial observation period, may be considered if there are feasibility issues with conducting a randomized, controlled trial.
264 265 266 267 268 269 270 271 272 273	• If use of a type of single-arm trial design with a historical control is necessary, then knowledge of the natural history of disease is critical. Natural history data may provide the basis of a historical control, but only if the control and treatment populations are adequately matched, in terms of demographics, concurrent treatment, disease state, and other relevant factors. In circumstances where randomized, concurrent controlled trials cannot be conducted and the natural history is well characterized, sponsors may consider the clinical performance of available therapies (if there are any) when setting the performance goal or criteria against which the product effect will be tested.
274 275 276 277 278 279 280 281	• A small sample size, together with high inter-subject variability in clinical course, diminishes a study's power to detect treatment-related effects. Therefore, alternative trial designs and statistical techniques that maximize data from a small and potentially heterogeneous group of subjects should be considered. Ideally, utilizing as an endpoint a treatment outcome that virtually never occurs in the natural course of the disease would greatly facilitate the design and cogency of small trials.

Draft – Not for Implementation

282	• Adequate measures to minimize bias should be undertaken. The preferred
283	approach to minimize bias is to use a study design that includes blinding.
284	
285	C. Dose Selection
286	
287	• Dose selection should be informed by all available sources of clinical information
288	(e.g., publications, experience with similar products, experience in related patient
289	populations).
290	
291	• Leveraging non-human data obtained in animal models of disease and in vitro
292	data may be, in some cases, the only way to estimate a starting human dose that is
293	anticipated to provide benefit. Additional dosing information can be obtained
294	from predictive models based on current understanding of in vitro enzyme
295	kinetics (including characterizing the enzyme kinetics in relevant cell lines), and
296	allometric scaling.
297	č
298	• For early-phase studies, clinical development of GT products should include
299	evaluation of two or more dose levels to help identify the potentially therapeutic
300	dose(s). Ideally, placebo controls should be added to each dose cohort.
301	
302	• Some GT products may have an extended duration of activity, so that repeated
303	dosing may not be an acceptable risk until there is a preliminary understanding of
304	the product's toxicity and duration of activity.
305	
306	Efforts should be made early in the GT product development program to identify and
307	validate biomarkers and to leverage all available information from published
308	investigations for the disease of interest (or related diseases). Some biomarkers or
309	endpoints are very closely linked to the underlying pathophysiology of the disease (e.g., a
310	missing metabolite in a critical biosynthetic pathway). In this case, total or substantial
311	restoration of the biosynthetic metabolic pathway may generally be expected to confer
312	clinical benefit. Changes in such biomarkers could be used during drug development for
313	dose-selection, or even as an early demonstration of drug activity.
314	
315	D. Safety Considerations
316	
317	• Clinical trials should include a monitoring plan that is adequate to protect the
318	safety of clinical trial subjects. The elements and procedures of the monitoring
319	plan should be based upon what is known about the GT product, including
320	preclinical toxicology, as well as CMC information, and, if available, previous
321	human experience with the proposed product or related products (Ref. 8).
322	
323	• Innate and adaptive immune responses directed against one or more components
324	of GT products (e.g., against the vector and/or transgene) may impact product
325	safety and efficacy. Early development of appropriate assays to measure product-
326	

#### Draft – Not for Implementation

327directed immune responses may be critical to program success. Do328neutralizing and non-neutralizing immune responses that are direct329product should be monitored throughout the clinical trial (Ref. 9).330	
• When there is limited previous human experience with a specific C administration to several subjects concurrently may expose those s	subjects to
333 unacceptable risk. Most first-in-human trials of GT products shou	
administration to consecutively enrolled subjects, for at least an in	
335 subjects, followed by staggering between dose cohorts. This appro	
number of subjects who might be exposed to an unanticipated safe	• • •
337         The optimal dosing interval between consecutively enrolled subject	
cohorts should be discussed with OTAT prior to conduct of the tria	al.
339	
• Because of the unique nature of the mechanism of action involving	g genetic
341 manipulation, a potential exists for serious long-term effects that n	nay not be
342 apparent during development or even at the time of an initial licent	sure. The long-
343 term safety of GT products is currently unknown. The appropriate	e duration of
344 long term follow-up depends on the results of preclinical studies w	ith this
345 product, knowledge of the disease process, and other scientific info	
346 6).	·
347	
• Early-phase GT clinical trial protocols should generally include stu	udy stopping
349 rules, which are criteria for halting the study based on the observed	
350 particular adverse events. The objective of study stopping rules is	
351 exposure to risk in the event that safety concerns arise. Well-desig	
352 rules may allow sponsors to assess and address risks identified as t	
353 proceeds, and to amend the protocol to mitigate such risks or to as	
354 subjects are not exposed to unreasonable and significant risk of illi	
355	
• The potential for viral shedding should be addressed early in produ	uct development
357 (Ref. 10).	1
358	
359 E. Efficacy Endpoints	
360	
361 Demonstration of clinical benefit of a GT product follows the same princi	ples as for any
362 other product. However, in some cases there may be unique characteristic	
363 products (e.g., a protein that is expressed by a GT product may have differ	
364 than standard enzyme replacement therapy) that warrant additional consid	rent bioactivity
365 pre-approval and post-marketing. Prior to commencing clinical trials of C	-
	lerations both
	lerations both T products for
<ul> <li>rare diseases, it is critically important to have a discussion with FDA about</li> <li>efficacy endpoint(s). For many rare diseases, well-established, disease-sp</li> </ul>	lerations both GT products for at the primary

endpoints are not available (Ref. 11). Endpoint selection for a clinical trial of a GT product for a rare disease should consider the following:

Draft – Not for Implementation

371 372		•	Sponsors should utilize an understanding the pathophysiology and natural history of a disease as fully as possible at the outset of product development. Full	
373			understanding of mechanism of product action is not required for product	
374			approval; however, understanding of pathophysiology is important in planning	
375			clinical trials, including selection of endpoints.	
376				
377		•	For sponsors that are considering seeking accelerated approval of a GT product	
378			for a rare disease pursuant to section 506(c) of the Federal Food, Drug, and	
379			Cosmetic Act (FD&C Act) based on a surrogate endpoint, it will be particularly	
380			important to understand the pathophysiology and natural history of the disease in	
381			order to help identify potential surrogate endpoints that are reasonably likely to	
382			predict clinical benefit.	
383			I	
384		•	Sponsors should identify specific aspects of the disease that are meaningful to the	
385			patient and might also be affected by the GT product's activity (Ref. 12).	
386				
387		•	Considerable information can be gained by collecting clinical measurements	
388			repeatedly over time. Such longitudinal profile allows the assessments of effect,	
389			largely based on within-patient changes, that otherwise could not be studied.	
390				
391		F.	Patient Experience	
392				
393		Patier	nt experience data <sup>5</sup> may provide important additional information about the clinical	
394		benef	it of a GT product. FDA encourages sponsors to collect patient experience data	
395			g product development, and to submit such data in the marketing application.	
396		•		
397				
398	VI.	EXP	EDITED PROGRAMS	
399				
400	There	are sev	veral programs that may be available to sponsors of GTs intended to address unmet	
401			Is in the treatment of serious or life-threatening conditions that are intended to	
402	facilitate and expedite development and review of these therapies, including regenerative			
403	medicine advanced therapy designation, breakthrough therapy designation, fast track			
10.1	1 .		<b>T T T T T T T T T T</b>	

404 designation, accelerated approval, and priority review. In particular, regenerative medicine

405 advanced therapy designation and breakthrough therapy designation call for earlier attention

<sup>&</sup>lt;sup>5</sup> As defined in section 569(c) of the FD&C Act, the term "patient experience data" includes data that are:

<sup>•</sup> Collected by any persons (including patients, family members and caregivers of patients, patient advocacy organizations, disease research foundations, researchers, and drug manufacturers); and

<sup>•</sup> Intended to provide information about patients' experiences with a disease or condition, including the impact (including physical and psychosocial impacts) of such disease or condition, or a related therapy or clinical investigation, on patients' lives; and patient preferences with respect to treatment of such disease or condition.

Additional information on Patient-Focused Drug Development can be found on this website: <u>https://www.fda.gov/drugs/developmentapprovalprocess/ucm579400.htm</u>

Draft – Not for Implementation

406 from FDA to these potentially promising therapies, offering sponsors earlier and more frequent 407 interactions with FDA on efficient trial design and overall drug development. Further 408 information on these programs is available in separate guidance documents<sup>6,7</sup>. 409 410 411 VII. **COMMUNICATION WITH FDA** 412 413 FDA recommends communication with OTAT early in product development, before submission of an IND. There are different meeting types that can be used for such discussions, depending 414 415 on the stage of product development and the issues to be considered. These include pre-IND 416 meetings and, earlier in development, INitial Targeted Engagement for Regulatory Advice on CBER producTs (INTERACT) meetings.<sup>8</sup> Early nonbinding, regulatory advice can be obtained 417 418 from OTAT through an INTERACT meeting, which can be used to discuss issues such as a

419 product's early preclinical program, and/or through a pre-IND meeting prior to submission of the420 IND (Ref. 13).

421

https://www.fda.gov/BiologicsBloodVaccines/ResourcesforYou/Industry/ucm611501.htm.

<sup>&</sup>lt;sup>6</sup> Guidance for Industry: Expedited Programs for Serious Conditions – Drugs and Biologics, dated May 2014, <u>https://www.fda.gov/downloads/Drugs/Guidances/UCM358301.pdf</u>

<sup>&</sup>lt;sup>7</sup> Expedited Programs for Regenerative Medicine Therapies for Serious Conditions, Draft Guidance for Industry, dated November 2017,

https://www.fda.gov/downloads/BiologicsBloodVaccines/GuidanceComplianceRegulatoryInformation/Guidances/C ellularandGeneTherapy/UCM585414.pdf

<sup>&</sup>lt;sup>8</sup> Going forward, INTERACT meetings will serve in place of pre-pre-IND meetings. For additional information about INTERACT meetings, please see

Draft – Not for Implementation

#### 422 VIII. REFERENCES

- Human Genome Editing: Science, Ethics, and Governance. The National Academies Press;
   2017. <u>https://www.nap.edu/read/24623/chapter/1</u>
- Chemistry, Manufacturing, and Control (CMC) Information for Human Gene Therapy
   Investigational New Drug Applications (INDs); Draft Guidance for Industry, July 2018\*,
   <u>https://www.fda.gov/downloads/BiologicsBloodVaccines/GuidanceComplianceRegulatoryIn</u>
   formation/Guidances/CellularandGeneTherapy/UCM610795.pdf
- 429 3. Guidance for Industry: Potency Tests for Cellular and Gene Therapy Products, January 2011,
   430 <u>https://www.fda.gov/downloads/BiologicsBloodVaccines/GuidanceComplianceRegulatoryIn</u>
   431 formation/Guidances/CellularandGeneTherapy/UCM243392.pdf
- 4. Guidance for Industry: Process Validation: General Principles and Practices, January 2011, https://www.fda.gov/downloads/drugs/guidances/ucm070336.pdf
- 434 5. Preclinical Assessment of Investigational Cellular and Gene Therapy Products; Guidance for435 Industry, November 2013,
- 436https://www.fda.gov/downloads/BiologicsBloodVaccines/GuidanceComplianceRegulatoryIn437formation/Guidances/CellularandGeneTherapy/UCM376521.pdf
- 438
  438
  6. Long Term Follow-Up After Administration of Human Gene Therapy Products; Draft Guidance for Industry, July 2018\*,
  440
- 440 <u>https://www.fda.gov/downloads/BiologicsBloodVaccines/GuidanceComplianceRegulatoryIn</u>
   441 <u>formation/Guidances/CellularandGeneTherapy/UCM610797.pdf</u>
- 442 7. Rare Diseases: Common Issues in Drug Development; Draft Guidance for Industry, August 2015\*,
- 444 <u>https://www.fda.gov/downloads/Drugs/GuidanceComplianceRegulatoryInformation/GuidanceComplianceRegulatoryInfo</u>
- 8. Considerations for the Design of Early-Phase Clinical Trials of Cellular and Gene Therapy
  Products; Guidance for Industry, June 2015,
- 448 <u>https://www.fda.gov/downloads/BiologicsBloodVaccines/GuidanceComplianceRegulatoryIn</u>
   449 <u>formation/Guidances/CellularandGeneTherapy/UCM564952.pdf</u>
- 450 9. Guidance for Industry: Immunogenicity Assessment for Therapeutic Protein Products,
   451 August 2014, <u>https://www.fda.gov/downloads/drugs/guidances/ucm338856.pdf</u>
- 452 10. Design and Analysis of Shedding Studies for Virus or Bacteria-Based Gene Therapy and
   453 Oncolytic Products; Guidance for Industry, August 2015,
   454 https://www.fda.gov/downloads/biologicsbloodyaccines/guidancecomplianceregulatoryinfor
- 454https://www.fda.gov/downloads/biologicsbloodvaccines/guidancecomplianceregulatoryinfor455mation/guidances/cellularandgenetherapy/ucm404087.pdf
- 456 11. Guidance for Industry: Providing Clinical Evidence of Effectiveness for Human Drug and
   457 Biologic Products, May 1998,
- 458 <u>https://www.fda.gov/downloads/Drugs/GuidanceComplianceRegulatoryInformation/Guidanc</u>
   459 <u>es/UCM072008.pdf</u>
- 460 12. Clinical Outcome Assessment Qualification Program
   461 <u>https://www.fda.gov/drugs/developmentapprovalprocess/drugdevelopmenttoolsqualificationp</u>
   462 rogram/ucm284077.htm
- 463 13. Guidance for Industry: Formal Meetings Between the FDA and Sponsors or Applicants,
   464 May 2009, <u>https://www.fda.gov/downloads/drugs/guidances/ucm079744.pdf</u>
   465
- 466 \*When finalized, this guidance will represent FDA's current thinking on this topic.